

**Date:** 15<sup>th</sup> April, 2024

To,  
The Secretary,  
Corporate Relationship Department,  
**BSE Limited**  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai-400 001

**Subject: Filing of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for financial year ended 31<sup>st</sup> March, 2024.**

**REFERENCE: SWASTIKA INVESTMART LIMITED (ISIN - INE691C01014), BSE SCRIP CODE-530585.**

Dear Sir/Madam,

Pursuant to Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations'), please find enclosed herewith a Certificate of Compliance as per requirement of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 obtained from L.N. Joshi & Co., Practicing Company Secretary, for the financial year ended 31<sup>st</sup> March, 2024.

You are requested to take above information on record the above said certificate and disseminate the same on your website.

Thanking you,

Yours faithfully

**FOR SWASTIKA INVESTMART LIMITED**

**SHIKHA BANSAL**  
**COMPANY SECRETARY & COMPLIANCE OFFICER**  
**M.NO: A36520**

*Encl.: - a/a*

**Swastika Investmart Limited**

Corp. Off. : 48 Jaora Compound, M.Y.H. Road, Indore-452001 ☎ 0731-6644000, 3345000

Regd. Off. : Flat No. 18, North Wing, Madhaveshwar Co-op. Hsg. Society, S.V. Road, Andheri (W), Mumbai-400058 ☎ 022-26254568-69

✉ info@swastika.co.in 🌐 www.swastika.co.in CIN : L 65910 MH 1992 PLC 067052

**Swastika Group : Member of NSE, BSE, NCDEX, MCX, MSEI DP : NSDL & CDSL**



**L N JOSHI & CO.**

**PRACTISING COMPANY SECRETARIES**

122, Krishna Business Centre, 11, PU- 4  
Next to Medanta Hospital, Rasoma Square  
Indore -452010 (M.P.)

**L.N. Joshi**  
M.Com, LLB (Hons), FCS  
**Insolvency Professional**  
Ph.-(0731) 4266708  
Cell +91 94250 60308  
E-mail-lnjoshics@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR)  
REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH, 2024**

I have examined Registers and other documents of **SWASTIKA INVESTMART LIMITED** having registered office at Flat No. 18, 2<sup>nd</sup> Floor, North Wing, Madhaveshwar Co-op Hsg Society Ltd., Madhav Nagar, 11/12, S.V. Road, Andheri W, Mumbai, Maharashtra-400058 maintained by Share Transfer Agent **ANKIT CONSULTANCY PRIVATE LIMITED**, having office at 60, Electronic Complex, Pardeshi Pura Indore (M.P.) relating to transfer and other requests received by the Company pertaining to certificate/s of equity shares, for the financial year ended on 31<sup>st</sup> March, 2024 for the purpose of issuing a Certificate/Confirmation letter as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on our such examination as well as information and explanation furnished to me by its Registrar and Share Transfer Agent, which to the best of my knowledge and belief were necessary for the purposes of the certification, I hereby certify that:

**A)** No Share Certificate(s) relating to the Share Transfer received during the financial year from 01/04/2023 to 31/03/2024. Further letter of confirmation (in lieu of Share certificates) in respect of lodgement received for transmission of shares have been dispatched in prescribed time pursuant to SEBI Notification No. SEBI/LAD-NRO/GN/2022/66 dated 24 January 2022 read with SEBI Circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022.

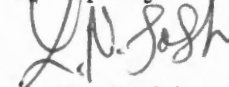
**B)** No Consolidation, sub-division, renewal, exchange or endorsement of calls/ allotment monies received during the financial year from 01/04/2023 to 31/03/2024; hence the company was not required to issue any certificate/confirmation letters.

Any other information, if applicable: Not Applicable.

Place: Indore  
Date: 12<sup>th</sup> April, 2024

L.N.JOSHI & CO.

Company Secretaries



L.N. Joshi

Proprietor

CP No. 4216

UDIN: F005201F000108040

PR Certificate No. 1722/2022

Unique Code Number: S2002MP836100

